

08-16-02

36294

Rev. 10/01  
PATENTS  
Modified PTO 1083  
For Other Than A Small Entity



CF/21CONCPA

Applicant : Philip M. Ginsberg  
Application No.: 09/225,537 Confirmation No.: 2363  
Filed : January 4, 1999  
(CPA filed July 31, 2000)  
For : FIXED INCOME PORTFOLIO INDEX PROCESSOR  
Group Art Unit : 3624  
Examiner : Geoffrey Akers

RECEIVED  
AUG 20 2002  
GROUP 3600

Express Mail Certification

Express Mail" mailing label number: E1125456765US

Date of Deposit: August 13, 2002

I hereby certify that this transmittal letter and the other papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and are addressed to the Hon. Commissioner for Patents, P.O. Box 2327, Arlington, VA 22202.

*Lillian Garcia*  
LILLIAN GARCIA

Hon. Commissioner for Patents  
P.O. Box 2327  
Arlington, VA 22202

TRANSMITTAL LETTER

Sir:

Transmitted herewith: ☐ a Preliminary Amendment;  
☒ a Reply to Office Action; ☒ a Supplemental Information Disclosure Statement;  
☒ Two Terminal Disclaimers; ☒ Two Authorizations to Charge Deposit Account;  
☐ a Supplemental Amendment; ☐ a substitute Specification; ☐ a Declaration;  
☐ a Supplemental Declaration; ☐ a Power of Attorney; ☐ an Associate Power of Attorney; ☐ formal drawings; to be filed in the above-identified patent application.

INFORMATION DISCLOSURE STATEMENT

Transmitted herewith is an Information Disclosure Statement in the above identified application. This Statement is submitted:

- ☐ within three months of the application filing date.  
☒ more than three months from the application filing date and after the mailing date of the first Office Action on the merits.

FEE FOR SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

- ☒ A check in the amount of \$180.00 in payment of the fee for submission of an Information Disclosure Statement in accordance with 37 C.F.R. § 1.97(c) and § 1.17(p) is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

FEE FOR ADDITIONAL CLAIMS

- ☐ A fee for additional claims is not required.
- ☒ A fee for additional claims is required.

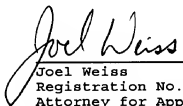
The additional fee has been calculated as shown below:

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE	ADDITIONAL
TOTAL CLAIMS	113	- 50*	= 63	x \$18 =	\$1134.00
INDEPENDENT CLAIMS	36	- 17**	= 19	x \$84 =	\$1596.00
FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM				+ \$280 =	\$ .00
* If less than 20, insert 20.				TOTAL	\$2730.00
** If less than 3, insert 3.					

- ☒ A check in the amount of \$2730.00 in payment of the fee for additional claims is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional fees required under 37 C.F.R. § 1.16 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge \$ \_\_\_\_\_ to Deposit Account No. 06-1075 in payment of the fee for additional claims. A duplicate copy of this transmittal letter is transmitted herewith.

EXTENSION FEE

- ☐ The following extension fee is applicable to the Response filed herewith:  
☐ \$110.00 extension fee for response within first month pursuant to 37 C.F.R. § 1.136(a); ☐ \$400.00 extension fee for response within second month pursuant to 37 C.F.R. § 1.136(a); ☐ \$920.00 extension fee for response within third month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,440.00 extension fee for response within fourth month pursuant to 37 C.F.R. § 1.136(a); ☐ \$1,960.00 extension fee for response within fifth month pursuant to 37 C.F.R. § 1.136(a).
- ☐ A check in the amount of ☐ \$110.00 ☐ \$400.00 ☐ \$920.00 ☐ \$1,440.00 ☐ \$1,960.00 in payment of the extension fee is transmitted herewith.
- ☒ The Director is hereby authorized to charge payment of any additional extension fees required under 37 C.F.R. § 1.17 in connection with the paper(s) transmitted herewith, or credit any overpayment of same, to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.
- ☐ Please charge the ☐ \$110.00 ☐ \$400.00 ☐ \$920.00 ☐ \$1,440.00 ☐ \$1,960.00 extension fee to Deposit Account No. 06-1075. A duplicate copy of this transmittal letter is transmitted herewith.

  
Joel Weiss  
Registration No. 44,398  
Attorney for Applicant  
Fish & Neave  
Customer No. 1473  
1251 Avenue of the Americas  
New York, New York 10020-1105  
Tel.: (212) 596-9000